

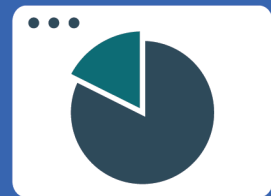
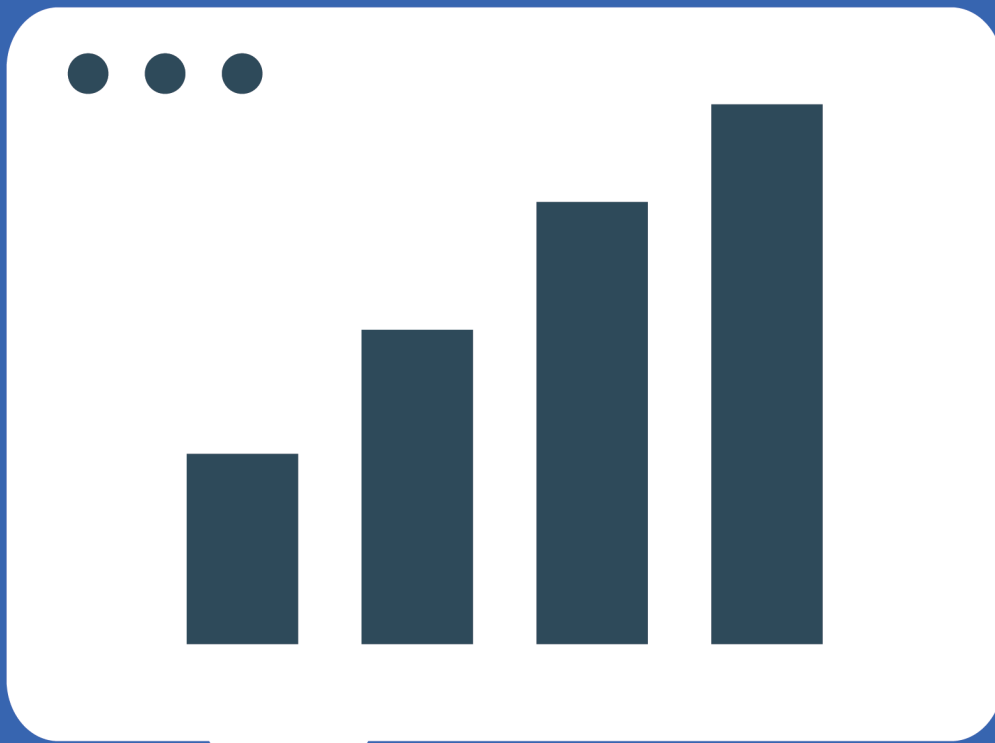


# Indian Institute Of Technology- Madras (IIT-M) & Infact Pro Trainers (OPC) Pvt Ltd



presents

## Securities Operations & Risk Management



## **ABOUT IIT MADRAS:**

Indian Institute of Technology Madras is one among the foremost institutes of national importance in higher technological education, basic and applied research. In 1956, the German Government offered technical assistance for establishing an institute of higher education in engineering in India. The first Indo-German agreement in Bonn, West Germany for the establishment of the Indian Institute of Technology at Madras was signed in 1959

## **ABOUT DIGITAL SKILL ACADEMY:**

Digital Skills Academy (DSA) is an initiative of NASSCOM, an apex body and IIT-Madras to to deliver structured IT-ITeS skill programs through online and classroom training. DSA has many programs listed in their website that poses direct development to support IT & ITeS requirements of the \$154 billion dollar IT BPM industry in India.

## **ABOUT INFACTPRO:**

INFACTPRO is a strategic initiative and has been set up to cater the manpower requirements and up skilling of the exponentially growing Finance sector in India. It has been significantly contributing to the BFSI sector, INFACTPRO is also in process of training existing BFSI professionals.

# Programme Objective

- To learn about the Functioning of Securities Market In India
- Know about the different Market Participants, Types of Instruments, Intermediaries and the role of SEBI as a regulator
- Understanding the process of Trade Life Cycle viz., Custodial Transaction execution, Order Management, Operations Management, Risk Disclosure & Clearing and Settlement.
- To know how the Risk Management Framework, encompassing Compliance and Regulatory Reporting works.
- Explaining the role of key Participants like the Clearing House, Clearing Banks, Custodians, Depositories & Broker.
- Understanding the Settlement Process, Margin Payments and how Auction is carried out
- Learn about Investor Grievances, Arbitration & Customer Protection Fund
- Services offered by Brokers

## Who Is This For?

- **Students who are keen to build a career in the domain of Securities or Risk Management operations**
- **Students/Professionals who intend to work for a Clearing House, Depository, Financial Institution, Banks or a Broker**
- **Executives who are part of BFSI and want to upgrade skill set for better career opportunities**

# Programme Highlights

## Learn about

- ➔ **The Basics of Securities Operations & Risk Management in India**
- ➔ **Different types of Market Participants and their roles**
- ➔ **Regulatory Framework & role of SEBI**
- ➔ **Understand the Trade Life Cycle, Order Management & Settlement Process of different types of Securities**
- ➔ **Services offered by Brokers**